

FIS920030173US1

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re patent application of

Chen et al.

Serial No.: Not Yet Assigned

Group Art Unit: Unknown

Filing Date: Concurrently Herewith

Examiner: Unknown

For: A METHOD OF PREVENTING SURFACE ROUGHENING DURING  
HYDROGEN PREBAKE OF SICE SUBSTRATES

Commissioner of Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

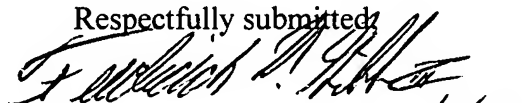
Sir:

Under the provisions of 37 CFR §1.97 through §1.99 and pursuant to applicants' duty of disclosure under 37 CFR §1.56, applicants respectfully bring the following documents, listed on the attached form PTO-1449, to the attention of the Examiner in charge of the above-identified application. Copies of the listed documents are provided herewith for the convenience of the Examiner. This citation does not constitute an admission that the references are relevant or material to the claims. They are only cited as constituting related art of which the applicants are aware.

It is respectfully requested that the listed references be considered by the Examiner and formally made of record in this application.

Please charge any deficiencies in fees and credit any overpayment of fees to Attorney's Deposit Account No. 09-0458.

Respectfully submitted,



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<b>INFORMATION DISCLOSURE CITATION</b> <i>(Use several sheets if necessary)</i>	Docket Number (Optional) <b>FIS920030173</b>	Application Number <b>Not Yet Assigned</b>
	Applicant(s) <b>Chen et al.</b>	
	Filing Date <b>Concurrently Herewith</b>	Group Art Unit <b>Unknown</b>

U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		6,251,751	06/26/2001	Chu et al.			
		6,375,749	04/23/2002	Boydston et al.			
		6,348,420	02/19/2002	Raaijmakers et al.			
		6,444,591	09/03/2002	Schuegraf et al.			
		5,397,738	03/14/95	Takagi			
		6,106,613	08/22/00	Sato et al.			
		2002/00986627	07/25/02	Pomarede et al.			
		2003/0036268	02/20/03	Brabant et al.			

FOREIGN PATENT DOCUMENTS								
REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation		
						YES	NO	

OTHER DOCUMENTS <i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i>		
		"Dedicated Pre-Clean Reduces Epi's Thermal Budget", Arkadii V. Samoilov, Dale Du Bois, Paul B. Comita & David Carlson, Applied Materials Inc., Santa Clara, CA, November 1, 2000, 6 pages <a href="http://www.e-insite.net/semiconductor/index.asp?layout=articlePri...">http://www.e-insite.net/semiconductor/index.asp?layout=articlePri...</a>

EXAMINER	DATE CONSIDERED
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	